

**IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF NEW YORK
WHITE PLAINS DIVISION**

NOVARTIS VACCINES AND
DIAGNOSTICS, INC., NOVARTIS
PHARMA AG, and GRIFOLS WORLDWIDE
OPERATIONS LIMITED,

Plaintiffs,

v.

REGENERON PHARMACEUTICALS, INC.,

Defendant.

Civil Action No. 7:18-cv-2434

**RULE 7.1 STATEMENT FOR
NOVARTIS VACCINES AND
DIAGNOSTICS, INC.**

Pursuant to Federal Rule of Civil Procedure 7.1, and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for Plaintiff Novartis Vaccines and Diagnostics, Inc. (“NVD”) certifies as follows:

1. NVD is an indirect wholly owned subsidiary of Novartis AG, a publicly held Swiss company.
2. The parent companies of NVD are: Novartis Pharmaceuticals Corporation, Novartis Pharma AG, and Novartis AG.
3. Novartis AG is the only publicly held company that owns 10% or more of NVD.

Dated: March 19, 2018

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